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# NOTICE OF ALLOWANCE AND FEE(S) DUE

VIDAS, ARRETT & STEINKRAUS, P.A. SUITE 400, 6640 SHADY OAK ROAD EDEN PRAIRIE, MN 55344 EXAMINER

PELLEGRINO, BRIAN E

ART UNIT

PAPER NUMBER

3738

DATE MAILED: 09/12/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/427,260	10/25/1999	FARHAD KHOSRAVI	S63.2-13525-US01	2937

TITLE OF INVENTION: STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	12/12/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

appropriate. All further indicated unless correcte maintenance fee notifical	ed below or directed oth	or transmitting the 1880 ing the Patent, advance of the patent advance of the patent in Block 1, by (1997).	orders and notification of n a) specifying a new corres	on FEE (il required naintenance fees will pondence address; an	be mailed to the current d/or (b) indicating a separate	correspondence address as arate "FEE ADDRESS" for
	·	ock 1 for any change of address)	Fee(	s) Transmittal. This co rs. Each additional pa	ertificate cannot be used f	or domestic mailings of the for any other accompanying nt or formal drawing, must
VIDAS, ARRETT & STEINKRAUS, P.A. SUITE 400, 6640 SHADY OAK ROAD EDEN PRAIRIE, MN 55344			I her State addr trans	eby certify that this E	cate of Mailing or Trans dee(s) Transmittal is being sufficient postage for fir- op ISSUE FEE address (571) 273-2885, on the de	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A.	TTORNEY DOCKET NO.	CONFIRMATION NO.
09/427,260	10/25/1999		FARHAD KHOSRAVI	•	S63.2-13525-US01	2937
ITTLE OF INVENTION  APPLN, TYPE	STRETCHABLE ANT	I-BUCKLING COILED	-SHEET STENT PUBLICATION FEE DUE	PREV. PAID ISSUE FI	E TOTAL FEE(S) DUE	DATE DUE
	NO	\$1510	\$0	\$0	\$1510	12/12/2011
nonprovisional		\$1310	, -	<b>3</b> 0	\$1510	12/12/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS			
PELLEGRIN	,	3738	623-001150			
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ondence address (or Cha 3/122) attached. ication (or "Fee Address' 2 or more recent) attached ND RESIDENCE DATA ess an assignee is identi h in 37 CFR 3.11. Comp	nge of Correspondence " Indication form ed. Use of a Customer A TO BE PRINTED ON	2. For printing on the part (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be THE PATENT (print or type data will appear on the part of a substitute for filing and (B) RESIDENCE: (CITY)	3 registered patent at rely, e firm (having as a magent) and the names of meys or agents. If no printed.  e) ttent. If an assignee isssignment.	ember a 2	ocument has been filed for
Please check the appropri	iate assignee category or	categories (will not be p	rinted on the patent): $\Box$	Individual	oration or other private gro	oup entity Government
4a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies			<ul> <li>b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>			
a. Applicant claims	tus (from status indicated s SMALL ENTITY statu	ıs. See 37 CFR 1.27.	☐ b. Applicant is no long	ger claiming SMALL	ENTITY status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	ed from anyone other than the k Office.	ne applicant; a register	red attorney or agent; or th	ne assignee or other party in
Authorized Signature				Date		
Typed or printed name				_		
This collection of informan application. Confident submitting the completed this form and/or suggesti	ation is required by 37 C tiality is governed by 35 I application form to the ons for reducing this bu	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	on is required to obtain or r 1.14. This collection is esti y depending upon the indiv ne Chief Information Office	etain a benefit by the painated to take 12 min idual case. Any comn r, U.S. Patent and Tra	oublic which is to file (and utes to complete, includir nents on the amount of ti demark Office, U.S. Dep	by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O.

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			PELLEGRINO, BRIAN E		
			ART UNIT	PAPER NUMBER	
			3738		

DATE MAILED: 09/12/2011

## **Determination of Patent Term Extension under 35 U.S.C. 154 (b)**

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 873 day(s). Any patent to issue from the above-identified application will include an indication of the 873 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Examiner-Initiated Interview Summary	09/427,260 KHOSRAVI ET A		AL.				
Examiner-initiated interview Summary	Examiner	Art Unit					
	BRIAN PELLEGRINO	3738					
All participants (applicant, applicant's representative, PTO personnel):							
(1) <u>BRIAN PELLEGRINO</u> .	(3)						
(2) <u>Jennifer Buss</u> .	(4)						
Date of Interview: 23,25 August 2011.							
Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant ☐ applicant's representative]							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	⊠ No.						
Issues Discussed □101 □112 □102 □103 ☑Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)							
Claim(s) discussed: 29,55 and 59.							
Identification of prior art discussed: Khosravi (6290720).							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)							
The Examiner informed Applicants' representative that formal drawings are required and must be filed to address previous drawing objections not complied with. A review of the prior drawing replacement for Figure 9 was found to be acceptable and the entry of these has been permitted after discussing these with Applicants' representative. Applicant's representative explained the support for the changes to the drawing and to the specification were based on the drawing figure 9 and how it was being defined. The Examiner stated the amendment to the specification submitted on 8/12/08 is now entered. Additionally the Examiner discussed a double patenting rejection made in a prior office action in which an alleged Terminal Disclaimer filed was misplaced and not found in the record. Applicants' representative confirmed this and requested the Examiner to review the pending claims and patented claims to see if one would still be required. The Examiner informed Applicants' representative that the scope of the claims were not the same and the '720 patent did not claim the cell configuration of the pending claims. Thus, a terminal disclaimer would not need to be filed over the '720 patent alone. Additionally discussed was some details describing figures in the specification in which Applicants' representative authorized the Examiner's amendment to correct some minor formalities.							
Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.  Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.							
☐ Attachment							
/Brian E Pellegrino/ Primary Examiner, Art Unit 3738							

Application No.

Applicant(s)